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Docket No. 23623-7043
GC566-2

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[X] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ at _____ a.m./p.m.

Dated: April 3, 2002

Name of Person Certifying: Nancy Hine

Printed Name: Nancy Hine

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jones et al.

Assignee:

Genencor International, Inc.

Filing Date: November 9, 1999

Examiner:

Patterson, C.

Serial No.: 09/436,513

Group Art Unit: 1652

Title: Chemically Modified Mutant Serine Hydrolases Show
Improved Catalytic Activity And Chiral Selectivity

RESPONSE AND FEE TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Office Action mailed October 4, 2001 ("Office Action" or "Paper No. 14"), Applicants request a three-month extension of time and authorize withdrawal of the appropriate fee from the deposit account identified in this response transmittal. Enclosed herewith for filing are the following:

- ☐ A Response/Amendment [] page(s)
- ☐ A Response to Restriction Requirement under 35 USC § 121 [2] pages
- ☒ A Response Under 37 CFR § 1.111 [32] pages, includes Response (10 pages), Appendix I (3 pages); Appendix II (6 pages); Executed Declaration of J. Bryan Jones and Richard C. Lloyd Under *In Re Katz* 687 F.2d 450, 214 USPQ 14 (CCPA 1982) (3 pages) includes Exhibit A (3 pages) and Exhibit B (7 pages)
- ☐ An Amendment Under 37 CFR § 1.116 [] page(s)
- ☒ Other (i) Amendment/Petition Under 37 CFR § 1.48(a) to Add Inventor (2 pages, original plus duplicate); (ii) Statement of Richard C. Lloyd (1 page); (iii) Declaration and Powers of Attorney for J. Bryan Jones, Michael Dickman and Richard C. Lloyd (3 documents, 2 pages each); (iv) Copy of Revocation and Appointment of New Power (5 pages); (v) Consent of Assignee to Change Inventorship in Patent (1 page); (vi) Statement Under 37 CFR § 3.73(b) (2 pages), includes copy of Recordation Cover Sheet (2 pages), copy of Amended and Restated Assignments (2 documents, 4 pages each), and copy of Assignment (2 pages).

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Also included are:

- ☐ A Petition for Extension of Time [] months
- ☐ Information Disclosure Statement
- [] page(s) of PTO-1449 [] copies of IDS citations
- ☐ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Return Postcard

Fee Calculation						
<input checked="" type="checkbox"/> The following fees are submitted:						CALCULATIONS
EXTRA CLAIMS FEE				OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
CLAIMS	CURRENT #	# OF CLAIMS PREVIOUSLY PAID	# EXTRA	RATE	RATE	
Total Claims	22	22	-0-	× \$18.00	× \$9.00	\$ -0-
Independent claims	2	2	-0-	× \$84.00	× \$42.00	\$ -0-
MULTIPLE DEPENDENT CLAIM(S)						
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				\$270.00	\$135.00	\$ -0-
Extension of Time Fee (3 months)						\$ 920.00
OTHER FEES <u>Petition to Add Inventor</u> (specify)						\$ 130.00
TOTAL FEES =						\$1,050.00

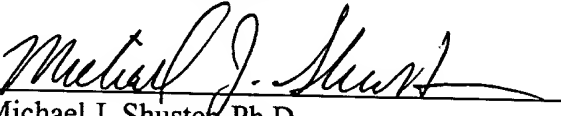
- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- ☒ Please charge Deposit Account No. 50-1193, Docket No. 23623-7043, in the amount of \$1,050.00 to cover the above-fees. *A duplicate copy of this sheet is enclosed.*



The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 23623-7043. *A duplicate copy of this sheet is enclosed.*

DATE: April 3, 2002

Respectfully submitted,

By: 
Michael J. Shuster, Ph.D.
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